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On the p -curvatures of t -connections over a relative smooth projective scheme

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Abstract

Let t be a global function of an integral R -scheme S and X be a smooth projective scheme over R . We show that the characteristic polynomial of the p -curvature of an integrable t -connection over X_S is horizontal.

Keywords: t -bundles, p -curvature, Hitchin morphism.

1. Introduction

The theory of linear differential operators in positive characteristic was initiated in the 1970s by Katz [Kat70, Kat82], Dwork [Dwo82] and Honda [Hon81]. The aim of these works is to connect with the studying local-global principle for linear ordinary differential equations which is known as the p -curvature conjecture of A. Grothendieck in 1969.

Let k be an algebraically closed field of characteristic $\text{char}(k) = p > 0$ and let X be a smooth projective curve over k . Let S be a k -scheme equipped with a t -function. An integrable t -bundle over X_S is a pair (E, ∇_t) containing a vector bundle E over X_S equipped with an integrable t -connection ∇_t . The p -curvature of (E, ∇_t) , denoted by Ψ_{∇_t} , is p -linear which defines an element of $\mathcal{H}om_{\mathcal{O}_X}(E, E \otimes Fr^* \Omega_{\mathcal{O}_X/S}^1)$. It is considered as an $n \times n$ -matrix Ψ with coefficients in \mathcal{O}_{X_S} satisfying the following conditions

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$$(1) \quad \begin{cases} \partial \cdot \det(Id - T\Psi_{\nabla_t}) = 0; \\ tr(\Psi^n \cdot \partial\Psi) = 0 \end{cases} \quad n \geq 0, n \in \mathbb{N},$$

see [LP01, Proposition 3.2].

Let R be a domain of k –algebras and S be an integral R –scheme and X be a smooth projective scheme over R . A Higgs bundle on X_S (relatively over R) is a vector bundle E on X_S equipped with a Higgs field $\theta: E \rightarrow E \otimes \Omega_{X_S/S}^1$ satisfying $\theta \wedge \theta = 0$, see [S94]. The coefficients of $\det(\lambda - \theta) = \lambda^n + a_1\lambda^{n-1} + \dots + a_{n-1}\lambda + a_n$ belong to $\mathcal{A}_{X_S/S} = \bigoplus H^0(X_S, S^i \Omega_{X_S/S}^1)$. Let us write $\mathcal{A}_{X/R} = \bigoplus H^0(X, S^i \Omega_{X/R}^1)$. Denote by $\mathcal{M}_{Dol}(X/R)$ the moduli stack over (Sch/R) which associates to a scheme S the category of finite rank Higgs bundles on $X_S = X \times_R S$. The moduli stack $\mathcal{M}_{Dol}(X/R)$ is equipped with a morphism $h: \mathcal{M}_{Dol}(X/R) \rightarrow \mathcal{A}_{X/R}$ which is defined by sending each Higgs bundle (E, θ) to the coefficients of the characteristic polynomial of θ . The morphism h is called the Hitchin morphism of X , see e.g. [Hit87, S94].

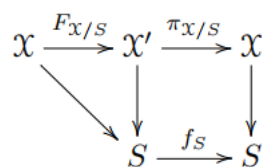
Denote by $\mathcal{C}(n, X/k)$ for the moduli stack of integrable t-bundle over X_S . The characteristic polynomial of the p-curvature Ψ_{∇_t} of ∇_t defines a morphism $\underline{Char}: \mathcal{C}(n, X/k) \rightarrow \mathcal{A}_{X/k} \times \mathbb{A}_k^1$. In the case where X is a smooth projective curve over k , by using (1), Laszlo and Pauly showed that there exists a morphism $\mathcal{H}: \mathcal{C}(r, X/k) \rightarrow \mathcal{A}_{X/k} \times \mathbb{A}_k^1$ of stacks such that the restriction $\mathcal{H}|_{t=0}$ is the Hitchin morphism of X . In this manuscript, we establish an analog version of formula (1), Theorems 3.1 and 3.5, for the case where X is a smooth projective scheme over R . These formulas then allow us to define the Hitchin morphism

$$\mathcal{H}: \mathcal{C}(r, X/R) \rightarrow \mathcal{A}_{X/R} \times \mathbb{A}_R^1.$$

2. Preliminaries

Let R be an algebra over k and let S be an R –scheme endowed with a global function t . Let \mathfrak{X} be a smooth projective R –scheme and denote by $X_S = X \times_R S$. As in [LP01], we also denote by t for the pullback of t to the scheme X_S by $X_S \rightarrow X$.

2.1. *Frobenius morphisms.* Let us denote by $f_S: S \rightarrow S$ the absolute Frobenius, which is topologically the identity and the p-power on functions, and S' the inverse image of S by the Frobenius f_S . Let $\mathfrak{X}' = X_S \times_{f_S} S$ be the pullback of \mathfrak{X} by f_S . There is a unique S -morphism $F_{\mathfrak{X}/S}: \mathfrak{X} \rightarrow \mathfrak{X}'$ such that the diagram



commutes. The S -morphism $F_{\mathfrak{X}/S}$ is called the *relative Frobenius morphism* of \mathfrak{X} over S .

Moreover, if $S = \text{spec}(A)$ the spectrum of an R-algebra A and $\mathfrak{X} \subset \mathbb{A}_A^N$ is given by equations $f_j = \sum_I a_I x^I$ together with coordinates (x_i) , where $1 \leq i \leq N, a_{I,j} \in A$, then $\mathfrak{X}' \subset \mathbb{A}_A^N$ is defined by $f_j^{[p]} = \sum_I a_I^p x^I$.

2.2. *Local systems.* Let us write $\text{Der}(\mathfrak{X}/S)$ for the sheaf of germs of S-derivatives on $\mathcal{O}_{\mathfrak{X}}$. As $\mathcal{O}_{\mathfrak{X}}$ -modules, this sheaf is isomorphic to $\text{Hom}_{\mathcal{O}_{\mathfrak{X}}}(\Omega_{\mathfrak{X}/S}^1, \mathcal{O}_{\mathfrak{X}})$.

Definition 2.1. A local system on \mathfrak{X} is a rank n vector bundle E on \mathfrak{X} equipped with an integrable connection $\nabla : E \rightarrow E \otimes \Omega_{\mathfrak{X}/S}^1$. A S-connection $\nabla : E \rightarrow E \otimes \Omega_{\mathfrak{X}/S}^1$ is called *integrable* if the composition of it with the induced map $\nabla : E \otimes \Omega_{\mathfrak{X}/S}^1 \rightarrow E \otimes \Omega_{\mathfrak{X}/S}^2$ is zero.

Let (E, ∇) be a local system on \mathfrak{X} . By using duality, ∇ gives rise to an $\mathcal{O}_{\mathfrak{X}}$ -linear map

$$\nabla : \text{Der}(\mathfrak{X}/S) \rightarrow \text{End}_S(E)$$

sending each $D \in \text{Der}(\mathfrak{X}/S)$ to $\nabla(D)$ in $\text{End}(E)$, where $\nabla(D)$ is the composite

$$E \xrightarrow{\nabla} E \otimes \Omega_{\mathfrak{X}/S}^1 \xrightarrow{1 \otimes D} E \otimes \mathcal{O}_{\mathfrak{X}}.$$

We also note that, according to [Kat70], the p^{th} -iterate $D^p \in \text{Der}(\mathfrak{X}/S)$ for each $D \in \text{Der}(\mathfrak{X}/S)$. As in [Section 5.0, Kat70], the p-curvature of the connection ∇ is a mapping of sheaves $\Psi_{\nabla} : \text{Der}(\mathfrak{X}/S) \rightarrow \text{End}_{\mathfrak{X}}(E)$ by $\Psi_{\nabla}(D) = \nabla(D)^p - \nabla(D^p)$. It is p-linear, i.e., an additive map and $\Psi_{\nabla}(fe) = f^p \Psi_{\nabla}(e)$ for all f and e are local sections of $\mathcal{O}_{\mathfrak{X}}$ and E respectively over an open subset of \mathfrak{X} .

2.3. *t-connections.* Let us review t-connections on a vector bundle.

Definition 2.2. Let E be a vector bundle of rank n over \mathfrak{X} and $\nabla_t : E \rightarrow E \otimes \Omega_{\mathfrak{X}/S}^1$ be an \mathcal{O}_S -linear map.

a) The map ∇_t is called a *t-connection* on E if $\nabla_t(ae) = tda \otimes e + a\nabla_t(e)$, where a and e are local sections of $\mathcal{O}_{\mathfrak{X}}$ and E respectively over an open subset of \mathfrak{X} . We say that the pair (E, ∇_t) is a t-bundle over \mathfrak{X} .

b) The t-connection ∇_t is called *integrable* if $\nabla_t \circ \nabla_t = 0$.

Proposition 2.3. Let (E, ∇_t) be a t-connection over \mathfrak{X} . Then:

1) The t-connection ∇_t gives rise to an $\mathcal{O}_{\mathfrak{X}}$ -linear morphism $\nabla_t : \text{Der}(\mathfrak{X}/S) \rightarrow \text{End}_S(E)$ sending $D \in \text{Der}(\mathfrak{X}/S)$ to $\nabla_t(D) \in \text{End}_S(E)$, where $\nabla_t(D)$ is the composition

$$E \xrightarrow{\nabla} E \otimes \Omega_{\mathfrak{X}/S}^1 \xrightarrow{1 \otimes D} E \otimes \mathcal{O}_{\mathfrak{X}}.$$

2) The t-connection ∇_t is integrable precisely when $[\nabla_t(D), \nabla_t(D')] = \nabla_t([D, D'])$ for all $D, D' \in \text{Der}(\mathfrak{X}/S)$.

Proof. See [I.0.5, Kat70].

Proposition 2.4. Let (E, ∇_t) be a t-bundle on \mathfrak{X} and let s be a section of E respectively over an open subset of \mathfrak{X} . Then $\nabla_t(s) = 0$ iff $\nabla_t(D)(s) = 0$ for all $D \in \text{Der}(\mathfrak{X}/S)$.

Proof. The proof is clear.

2.4. *The characteristic polynomial of p-curvatures.* Let ∇_t be a t-bundle over \mathfrak{X} . According to [Kat70], the map from $\text{Der}(\mathfrak{X}/S)$ to $\text{End}_S(E)$ which sends each D to $[\nabla_t(D)]^p - t^{p-1}\nabla_t(D^p)$ is additive since ∇_t is so.

Definition 2.5. The additive morphism

$$\Psi_{\nabla_t} : \text{Der}(\mathfrak{X}/S) \rightarrow \text{End}_S(E); \quad D \mapsto [\nabla_t(D)]^p - t^{p-1}\nabla_t(D^p)$$

is called the *p-curvature* of (E, ∇_t) .

Example 2.6. Let E be a rank n vector bundle over \mathfrak{X} . An 0-connection $\nabla_0 : E \rightarrow E \otimes \Omega_{\mathfrak{X}/S}^1$ is a Higgs field on E . Then, its p -curvature $\Psi_{\nabla_0} = [\nabla_0]^p$.

The following is referred to Lemma 3.3 in [LP01].

Proposition 2.7. Let Ψ_{∇_t} be the p -curvature of (E, ∇_t) . Then

- 1) The p -curvature of a 0-connection on E has form p -power of a Higgs field.
- 2) The map Ψ_{∇_t} is p -linear. In particular, it defines an element in $\text{Hom}_{\mathcal{O}_{\mathfrak{X}}}(E, E \otimes \text{Fr}^* \Omega_{\mathfrak{X}/S}^1)$, (we still denote it by Ψ_{∇_t}).

Proof. The assertion (1) is obvious. The proof of (2) in fact is given by adapting Proposition 5.2.0 of [Kat70].

According to Proposition 5.2.1-2 of [Kat70], we obtain that

Lemma 2.8. Let (E, ∇_t) be a t-bundle over \mathfrak{X} and assume that ∇_t is integrable. Then $[\nabla_t(D), \Psi_{\nabla_t}(D')] = [\Psi_{\nabla_t}(D), \Psi_{\nabla_t}(D')] = 0$ for all $D, D' \in \text{Der}(\mathfrak{X}/S)$.

Proof. We first note that ∇_t is integrable and Ψ_{∇_t} is p -linear. Hence, By adapting the proof of Proposition 5.2.1-2 of [Kat70], we obtain that $[\nabla_t(D), \Psi_{\nabla_t}(D')] = [\Psi_{\nabla_t}(D), \Psi_{\nabla_t}(D')] = 0$ for all $D, D' \in \text{Der}(\mathfrak{X}/S)$.

Let (E, ∇_t) be an integrable t-bundle over \mathfrak{X} . Then, Ψ_{∇_t} can be seen as a section of $\text{Hom}_{\mathcal{O}_{\mathfrak{X}}}(E, E \otimes \text{Fr}^* \Omega_{\mathfrak{X}/S}^1)$. The polynomial $\det(\text{Id} - T\Psi_{\nabla_t})$ of Ψ_{∇_t} can be considered as an element in $\bigoplus_{i=1}^n H^0(\mathfrak{X}, S^i(\text{Fr}^* \Omega_{\mathfrak{X}/S}^1))$. According to Cartier's Theorem [Kat70, Theorem 5.1], there is a canonical connection ∇^{can} on $\text{Fr}^* S^i(\Omega_{\mathfrak{X}/S}^1)$ such that the diagram

$$\begin{array}{ccc}
 & Fr^*S^i\Omega_{\mathcal{X}'/S}^1 \otimes \Omega_{\mathcal{X}/S}^1 & \\
 \nearrow \nabla^{can} & & \searrow Id \otimes D \\
 Fr^*S^i\Omega_{\mathcal{X}'/S}^1 & \xrightarrow{\nabla^{can}(D)} & Fr^*S^i\Omega_{\mathcal{X}'/S}^1
 \end{array}$$

commutes for each $i = 1, \dots, n$. Similar as in the proof of Proposition 2.4, we can assume that $\mathcal{X} = spec(A[z])$ is affine and fix $(\mathbf{e}) = \{e_1, \dots, e_n\}$ an $A[z]$ -basis of E , where A is an algebra over R . We then write $\det(T\Psi_{\nabla_i} - Id) = (s_1, \dots, s_n)$, where $s_i \in H^0(\mathcal{X}, S^i(Fr^*\Omega_{\mathcal{X}'/S}^1))$ for each $i = 1, \dots, n$. Hence, for each $D \in Der(\mathcal{X}/S)$, we define $D.\det(T\Psi_{\nabla_i} - Id) := (\nabla^{can}(D)(s_1), \dots, \nabla^{can}(D)(s_n))$. Note that $(\nabla^{can}(D)(s_1), \dots, \nabla^{can}(D)(s_n)) = 0$ precisely when $D.\det(T\Psi_{\nabla_i} - Id) = 0$ for all $D \in Der(\mathcal{X}/S)$.

Let us write $\Psi_{\nabla_i}^D$ for the matrix of $\Psi_{\nabla_i}(D)$ with respect to (\mathbf{e}) for each $D \in Der(\mathcal{X}/S)$ and write ∂_D for the S -derivative corresponding to $D \in \text{End}_S(\mathcal{O}_{\mathcal{X}})$. Since $f_{\mathcal{X}}^*Der(\mathcal{X}/S) = Fr^*Der(\mathcal{X}'/S)$, the operator $\partial_{D'}.\det(T\Psi_{\nabla_i}^D - Id)$ is well defined for all $D' \in Der(\mathcal{X}/S)$.

Proposition 2.9. Let $D \in Der(\mathcal{X}/S)$. The following conditions are equivalent:

- 1) $\partial_{D'}.\det(T\Psi_{\nabla_i}^{D'} - Id) = 0$ for all $D' \in Der(\mathcal{X}/S)$.
- 2) $D.\det(T\Psi_{\nabla_i} - Id) = 0$.

Proof. Without loss of generality, we consider $\mathcal{X} = spec(A[z])$ being affine and fix (\mathbf{e}) an $A[z]$ -basis of E , where A is an algebra over R . By using Remark 2.7, the p -curvature Ψ_{∇_i} is presented by the following matrix $Mat(\Psi_{\nabla_i}, \mathbf{e}) = \left(\sum_{k=1}^d a_k^{ij} \otimes_{f_{\mathcal{X}}} dz_k \right)_{n \times n}$, where $a_k^{ij} \in A[z]$ for each triple (i, j, k) such that $1 \leq i, j, k \leq d$. Firstly, (2) \Rightarrow (1) is obvious. We now prove that (1) \Rightarrow (2). Assume that $\partial_{D'}.\det(T\Psi_{\nabla_i}^{D'} - Id) = 0$ for all $D' \in Der(\mathcal{X}/S)$. We note that $\Psi_{\nabla_i}^{D'}$ is given by the composition

$$E \xrightarrow{\Psi_{\nabla_i}} E \otimes \Omega_{\mathcal{X}/S}^1 \xrightarrow{1 \otimes D'} E \otimes \mathcal{O}_{\mathcal{X}}.$$

Considering D' corresponds to $\partial_{z_{i_1}} + \dots + \partial_{z_{i_s}}$, where $1 \leq i_1 < i_2 < \dots < i_s \leq d$ and $1 \leq s \leq d$. Because $\partial_{D'}.\det(T\Psi_{\nabla_i}^{D'} - Id) = 0$, we obtain that

$$\partial_D \cdot \det \begin{pmatrix} T \sum_{k=1}^s a_{i_k}^{11} - 1 & T \sum_{k=1}^s a_{i_k}^{12} & \dots & T \sum_{k=1}^s a_{i_k}^{1n} \\ T \sum_{k=1}^s a_{i_k}^{21} & T \sum_{k=1}^s a_{i_k}^{22} - 1 & \dots & T \sum_{k=1}^s a_{i_k}^{2n} \\ \dots & \dots & \dots & \dots \\ T \sum_{k=1}^s a_{i_k}^{n1} & T \sum_{k=1}^s a_{i_k}^{n2} & \dots & T \sum_{k=1}^s a_{i_k}^{nn} - 1 \end{pmatrix} = 0.$$

Hence, $D \cdot \det \left(\left(\sum_{k=1}^d a_k^{ij} \otimes_{f_{\mathfrak{X}}} dz_k \right)_{n \times n} \cdot T - Id \right) = 0$ which means that $D \cdot \det(T\Psi_{\nabla_t} - Id) = 0$.

As a direct consequence of Proposition 2.9, we arrive at

Corollary 2.10. Let $P_{\Psi_{\nabla_t}} = (-1)^n T^n + s_1 T^{n-1} + \dots + s_n$ be the characteristic polynomial of Ψ_{∇_t} and $k \in \mathbb{N}$ such that $1 \leq k \leq d$. Then $\nabla^{can}(s_i) = 0$ for all $1 \leq i \leq n$ if and only if $\partial_k \cdot \det(T\Psi_{\nabla_t}^D - Id) = 0$ for all $D \in Der(\mathfrak{X}/S)$.

3. Horizontality of characteristic polynomial of p-curvature

Let $\nabla_t : E \rightarrow E \otimes \Omega_{X_S/S}^1$ be an integrable t-connection on E over X_S . By localization, assume that $\mathfrak{X} = spec(A[z])$ is affine and fix $(e) = \{e_1, \dots, e_n\}$ an $A[z]$ -basis of E, where A is an algebra over R. According to [Mo09], we obtain the following theorem.

Theorem 3.1. The following assertions $\partial_{z_i} \cdot \det(Id - T \cdot \Psi_{\nabla_t}^{\partial_{z_i}}) = 0$ hold for all $1 \leq i, j \leq d$.

Proof. Because we work in a completion of the local ring $\mathcal{O}_{X_S,0}$, the t-connection ∇_t has the coordinate representation $\nabla_t(v) = t\partial_{z_1}(v)dz_1 + \dots + t\partial_{z_d}(v)dz_d + (A_1dz_1 + \dots + A_d dz_d)v$, where $A_1, \dots, A_d \in Mat_n(A[z])$. Moreover, for each $1 \leq i \leq d$, we have

$$(2) \quad \nabla_t(\partial_{z_i}) = t\partial_{z_i} + A_i.$$

Hence, since $(\partial_{z_i})^p = 0$, we immediately obtain that $\Psi_{\nabla_t}^{\partial_{z_i}} = (\nabla_t(\partial_{z_i}))^p$. On the other hand, the integrability of ∇_t allows us to show that $[\nabla_t(\partial_{z_i}), \nabla_t(\partial_{z_j})] = 0$ for all $1 \leq i, j \leq d$. Using Lemma 2.8, we have $[\nabla_t(\partial_{z_i}), \Psi_{\nabla_t}(\partial_{z_j})] = 0$ in $End(E)$ for each $1 \leq i, j \leq d$. Hence,

$$(3) \quad [\nabla_t(\partial_{z_i}), \Psi_{\nabla_t}^{\partial_{z_j}}] = 0; \quad 1 \leq i, j \leq d.$$

By putting (2) and (3) together, we obtain that $[t\partial_{z_i} + A_i, \Psi_{\nabla_t}^{\partial_{z_j}}] = 0; 1 \leq i, j \leq d$. Therefore,

$$(4) \quad t[\partial_{z_i}, \Psi_{\nabla_t}^{\partial_{z_j}}] = [\Psi_{\nabla_t}^{\partial_{z_j}}, A_i]; \quad 1 \leq i, j \leq d.$$

For each $i = 1, \dots, d$, let us write $\partial_{z_i} \cdot \Psi_{\nabla_t}^{\partial_{z_j}}$ for the matrix of partial derivatives of the entries of $\Psi_{\nabla_t}^{\partial_{z_j}}$ with respect to z_i . By adapting the proof of Proposition 3.2 in [LP01], we obtain that

$$(5) \quad \partial_{z_i} \cdot \Psi_{\nabla_i}^{\partial_j} = [\partial_{z_i}, \Psi_{\nabla_i}^{\partial_j}]; \quad 1 \leq i, j \leq d.$$

Now we combine \eqref{VanishDerDeter3} and \eqref{VanishDerDeter5} together, we then obtain that $tr[(\Psi_{\nabla_i}^{\partial_{z_j}})^n \partial_{z_i} \cdot \Psi_{\nabla_i}^{\partial_{z_j}}] = tr[(\Psi_{\nabla_i}^{\partial_{z_j}})^n [\Psi_{\nabla_i}^{\partial_j}, A_i]] = 0$, for all $n \geq 0$ and $1 \leq i, j \leq d$. In order to prove $tr[(\Psi_{\nabla_i}^{\partial_{z_k}})^n \partial_{z_i} \cdot \Psi_{\nabla_i}^{\partial_{z_k}}] = 0$, let us consider $t \in A$ as follows:

- Case 1: $t=0$. By the definition of Ψ_{∇_i} , we have $\Psi_0(\nabla_0)^{\partial_{z_1}} = A_1^p; \dots; \Psi_0(\nabla_0)^{\partial_{z_d}} = A_d^p$. This implies that $(\Psi_0(\nabla_0)^{\partial_{z_j}})^n \partial_{z_i} \cdot \Psi_0(\nabla_0)^{\partial_{z_j}} = A_j^{pn} \partial_{z_i} \cdot A_j^p$, and hence,

$$tr[(\Psi_0(\nabla_0)^{\partial_{z_j}})^n \partial_{z_i} \cdot \Psi_0(\nabla_0)^{\partial_{z_j}}] = tr[A_j^{pn} \partial_{z_i} \cdot A_j^p] = 0$$

for all $n \geq 0$ and $1 \leq i, j \leq d$. Therefore, $tr[(\Psi_0(\nabla_0)^{\partial_{z_k}})^n \partial_{z_i} \cdot \Psi_0(\nabla_0)^{\partial_{z_k}}] = 0$ for all $n \geq 0$ and $1 \leq i, j \leq d$.

- Case 2: $t \neq 0$. Since A is an integral domain, we get $tr[(\Psi_{\nabla_i}^{\partial_{z_j}})^n \partial_{z_i} \cdot \Psi_{\nabla_i}^{\partial_{z_j}}] = 0$, for all $n \geq 0$ and $1 \leq i, j \leq d$.

Since the matrix $\Psi_{\nabla_i}^{\partial_{z_j}}$ belongs to $Mat_n(R \ z)$, we obtain the Jacobi identity formula as follows (by using induction on d) $\partial_{z_i} \cdot \det(Id - T \cdot \Psi_{\nabla_i}^{\partial_k}) = -T \det(Id - T \cdot \Psi_{\nabla_i}^{\partial_k}) \sum_{n \geq 0} T^n tr[(\Psi_{\nabla_i}^{\partial_k})^n \partial_{z_i} \cdot \Psi_{\nabla_i}^{\partial_k}]$ for all $1 \leq i, j \leq d$. Therefore, $\partial_{z_i} \cdot \det(Id - T \cdot \Psi_{\nabla_i}^{\partial_j}) = 0$.

In general case, we first need the following lemma.

Lemma 3.2. Let $M_1, \dots, M_d, B \in Mat_n(R \ z)$ such that $[M_i, M_j] = 0$ for all $1 \leq i, j \leq d$.

Then

$$tr(M_1^{n_1} \dots M_d^{n_d} [M_k, B]) = 0$$

for all $1 \leq k \leq d$ and $(n_1, \dots, n_d) \in \mathbb{N}^d$.

Proof of Lemma. Since $tr[M_k, B] = 0$, so $tr(M_1^{n_1} \dots M_d^{n_d} [M_k, B]) = 0$.

Now, we apply the idea in the proof of Proposition 3.2 in [LP01] to obtain the following.

Proposition 3.3. Let $D \in Der(X_S / S)$ and assume that $\Psi_{\nabla_i}^D$ and $\partial_{z_i} \cdot \Psi_{\nabla_i}^D$ as above. Then, we have

$$tr[(\Psi_{\nabla_i}^D)^m \partial_{z_i} \cdot \Psi_{\nabla_i}^D] = 0.$$

Since Ψ_{∇_i} is p -linear, so $\Psi_{\nabla_i}^D = a_1^p \Psi_{\nabla_i}^{\partial_{z_1}} + \dots + a_d^p \Psi_{\nabla_i}^{\partial_{z_d}}$. Hence, the integrability of ∇_i implies that the matrix $\partial_{z_i} \cdot \Psi_{\nabla_i}^D$ is

$$\partial_{z_i} \cdot \Psi_{\nabla_i}^D = \partial_{z_i} \cdot (a_1^p \Psi_{\nabla_i}^{\partial_{z_1}} + \dots + a_d^p \Psi_{\nabla_i}^{\partial_{z_d}}) = a_1^p \partial_{z_i} \cdot \Psi_{\nabla_i}^{\partial_{z_1}} + \dots + a_d^p \partial_{z_i} \cdot \Psi_{\nabla_i}^{\partial_{z_d}}.$$

Because $[\Psi_{\nabla_i}^{\partial_{z_i}}, \Psi_{\nabla_i}^{\partial_{z_j}}] = 0$ for all $1 \leq i, j \leq d$, we obtain the following decomposition

$$[\Psi_{\nabla_i}^D]^m = [a_1^p \Psi_{\nabla_i}^{\partial_{z_1}} + \dots + a_d^p \Psi_{\nabla_i}^{\partial_{z_d}}]^m = \sum_{k_1 + \dots + k_d = m} \binom{m}{k_1, k_2, \dots, k_d} \prod_{i=1}^d [a_i^{pk_i} (\Psi_{\nabla_i}^{\partial_{z_i}})^{k_i}].$$

where $(k_1, \dots, k_d) \in \mathbb{N}^d$ such that $k_1 + \dots + k_d = m$ and $\binom{m}{k_1, k_2, \dots, k_d} = \frac{m!}{k_1! k_2! \dots k_d!}$. Hence,

we have

$$tr[(\Psi_{\nabla_i}^D)^m \partial_{z_i} \cdot \Psi_{\nabla_i}^D] = \sum_{k=1}^d \sum_{k_1 + \dots + k_d = m} \binom{m}{k_1, \dots, k_d} a_k^p tr([\prod_{j=1}^d a_j^{pk_j} (\Psi_{\nabla_i}^{\partial_{z_j}})^{k_j}] \partial_{z_i} \cdot \Psi_{\nabla_i}^{\partial_{z_k}}).$$

We now need the following lemma.

Lemma 3.4. Let $(n_1, \dots, n_d) \in \mathbb{N}^d$, assume that $\Psi_{\nabla_i}^{\partial_{z_1}}, \dots, \Psi_{\nabla_i}^{\partial_{z_d}}$ and $\partial_{z_i} \cdot \Psi_{\nabla_i}^{\partial_{z_j}}$ as above. Then

$$tr[(\Psi_{\nabla_i}^{\partial_{z_1}})^{n_1} \dots (\Psi_{\nabla_i}^{\partial_{z_d}})^{n_d} (\partial_{z_i} \cdot \Psi_{\nabla_i}^{\partial_{z_j}})] = 0$$

for all $1 \leq i, j \leq d$.

Proof of Lemma 3.4. According to Theorem 3.1, the followings

$$t.tr[(\Psi_{\nabla_i}^{\partial_{z_1}})^{n_1} \dots (\Psi_{\nabla_i}^{\partial_{z_d}})^{n_d} (\partial_{z_i} \cdot \Psi_{\nabla_i}^{\partial_{z_j}})] = tr((\Psi_{\nabla_i}^{\partial_{z_1}})^{n_1} \dots (\Psi_{\nabla_i}^{\partial_{z_d}})^{n_d} [\Psi_{\nabla_i}^{\partial_{z_j}}, A_i])$$

for all $(n_1, \dots, n_d) \in \mathbb{N}^d$ and $1 \leq i, j \leq d$. Using Lemma 3.2, we get

$$t.tr[(\Psi_{\nabla_i}^{\partial_{z_1}})^{n_1} \dots (\Psi_{\nabla_i}^{\partial_{z_d}})^{n_d} (\partial_{z_i} \cdot \Psi_{\nabla_i}^{\partial_{z_j}})] = 0.$$

We now again use the method in the proof of Theorem \ref{mainThrm01} to obtain

$$tr[(\Psi_{\nabla_i}^{\partial_{z_1}})^{n_1} \dots (\Psi_{\nabla_i}^{\partial_{z_d}})^{n_d} (\partial_{z_i} \cdot \Psi_{\nabla_i}^{\partial_{z_j}})] = 0.$$

Let us observe from Theorem 3.1 that $tr((\prod_{j=1}^d a_j^{pk_j} (\Psi_{\nabla_i}^{\partial_{z_j}})^{k_j}) \partial_{z_i} \cdot \Psi_{\nabla_i}^{\partial_{z_k}}) = 0$ for each

$k_1, \dots, k_d \in \mathbb{N}$. Therefore, we can conclude that $tr[(\Psi_{\nabla_i}^D)^m \partial_{z_i} \cdot \Psi_{\nabla_i}^D] = 0$ for all $m \in \mathbb{N}$.

We now arrive at

Theorem 3.5. For each $D, D' \in \mathcal{D}er(X_S / S)$, we have $D \cdot \det(T \cdot \Psi_{\nabla_i}^{D'} - Id) = 0$

Proof. Let us write $D = \sum_{j=1}^d a_j \partial_{z_j}$ with $a_1, \dots, a_d \in A[z]$. Then, for each $1 \leq i \leq d$, we have the following identity

$$\partial_{z_i} \cdot \det(Id - T \cdot \Psi_{\nabla_i}^{D'}) = -T \det(Id - T \cdot \Psi_{\nabla_i}^{D'}) \sum_{m \geq 0} T^m tr[(\Psi_{\nabla_i}^{D'})^m \partial_{z_i} \cdot \Psi_{\nabla_i}^{D'}].$$

Hence, by using Theorem 3.3 we can see that $\partial_{z_i} \cdot \det(Id - T \cdot \Psi_{\nabla_i}^{D'}) = 0$ for all $1 \leq i \leq d$ and $D' \in \mathcal{D}er(X_S / S)$.

Let now us give an application of Theorem 3.5. Denote by $\mathcal{C}(r, X / R)$ the fibered category over $(\mathbf{Aff} / \mathbb{A}_R^1)$ which associates each $t : S \rightarrow \mathbb{A}_R^1$ to the category whose objects are pairs (E, ∇_t)

containing a degree zero vector bundle E of rank n on X_S equipped with an integrable t -connection ∇_t , and morphisms are isomorphisms which commute with the t -connections (see Section 3.3 in [LP01]). The affine schemes $\mathcal{A}_{X/R}$ and $\mathcal{A}'_{X/R}$ are defined by

$$\mathcal{A}_{X/R}(S) = \mathcal{A}_{X/R} \times_R S; \quad \mathcal{A}'_{X/R}(S) = \mathcal{A}'_{X/R} \times_R S$$

for any R -scheme S .

Definition 3.6. Let (E, ∇_t) be a t -bundle over X_S . We denote by $Char(\nabla_t)$ the point of $\mathcal{A}'_{X/R}(S)$ which is defined by the coefficients of the characteristic polynomial of the morphism $\Psi_{\nabla_t} : E \rightarrow E \otimes q^* S^p \Omega^1_{X/R}$, where $q : X_S \rightarrow X$ is the first projection.

According to [LP01], the functor $\nabla_t \mapsto (Char(\nabla_t), t)$ defines a morphism of \mathbb{A}^1_R -stacks:

$$\underline{Char} : \mathcal{C}(r, X/R) \rightarrow \mathcal{A}_{X/R} \times \mathbb{A}^1_R$$

over the category $(\mathbf{Aff} / \mathbb{A}^1_R)$. The absolute Frobenius morphism f_X induces an injective p -linear morphism $f_{X_S}^* : \mathcal{A}_{X/R}(S) \rightarrow \mathcal{A}'_{X/R}(S)$ for each affine R -scheme S . Therefore, there is a canonical inclusion of \mathbb{A}^1_T -stacks (still denoted by f_X^*): $f_X^* : \mathcal{A}_{X/R} \times \mathbb{A}^1_R \rightarrow \mathcal{A}'_{X/R} \times \mathbb{A}^1_R$.

Let now $(E, \nabla_t) \in \mathcal{C}(r, X/R)(S)$ be a t -bundle of rank r over X_S . The locality allows us to assume that $X_S = \text{spec}(A[z])$ is affine and fix $(\mathbf{e}) = \{e_1, \dots, e_n\}$ an $A[z]$ -basis of E , where A is an algebra over R , and the characteristic polynomial $\det(T\Psi_{\nabla_t} - Id)$ is $\det(T\Psi_{\nabla_t} - Id) = (s_1, \dots, s_n)$, where $s_i \in H^0(X_S, S^i(Fr^* \Omega^1_{X_S/S}))$ for each $i = 1, \dots, n$. By putting Corollary 2.10 and Theorem 3.5 together, we conclude that $\nabla_{X_S}^{can}(s_i) = 0$, where $\nabla_{X_S}^{can}$ is the canonical connection on $Fr^*(q^* S^i \Omega^1_{X/R})$. This implies that $Char(\nabla_t)$ belongs to the image of the embedding

$$f_{X_S}^* : \mathcal{A}_{X/R}(S) \times \mathbb{A}^1_R \rightarrow \mathcal{A}'_{X/R}(S) \times \mathbb{A}^1_R$$

By using Cartier's theorem, there is another way to obtain the following result (see Section 2 in [EG18]) in the version of t -connections.

Proposition 3.7. There exists a unique morphism $\mathcal{H} : \mathcal{C}(r, X/R) \rightarrow \mathcal{A}_{X/R} \times \mathbb{A}^1_R$ over \mathbb{A}^1_R satisfying the followings:

a) the diagram

$$\begin{array}{ccc} & \mathcal{A}_{X/R} \times \mathbb{A}^1_R & \\ \exists! \mathcal{H} \nearrow & & \searrow f_X^* \\ \mathcal{C}(r, X/R) & \xrightarrow{\underline{Char}} & \mathcal{A}'_{X/R} \times \mathbb{A}^1_R \end{array}$$

is commutative;

b) the restriction $\mathcal{H}|_{t=0}$ gives the Hitchin morphism of X .

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